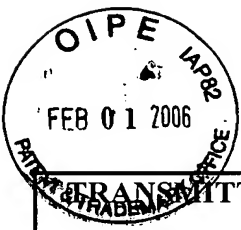


02-02-06

TFW

1653

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97 (c))					Docket No. 366/04310	
In Re Application of: <b>Uri ROSENSCHEIN et al.</b>						
Application No. <b>10/523,967</b>	Filing Date <b>February 25, 2003</b>	Examiner <b>UNKNOWN</b>	Customer No. <b>44909</b>	Art Unit <b>xxxx</b>	Confirmation No. <b>3985</b>	
Title: <b>EMBOLISM FILTER WITH SELF-DEPLOYABLE GUIDEWIRE STOP</b>						
<p style="text-align: center;">Address to: <b>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</b></p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>						



<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97 (c))	Docket No. <b>366/04310</b>
--	--------------------------------

In Re Application of: <b>Uri ROSENSCHEIN et al.</b>
--

Application No. <b>10/523,967</b>	Filing Date <b>February 25, 2003</b>	Examiner <b>UNKNOWN</b>	Customer No. <b>44909</b>	Art Unit <b>xxxx</b>	Confirmation No. <b>3985</b>
--------------------------------------	---	----------------------------	------------------------------	-------------------------	---------------------------------

Title: <b>EMBOLISM FILTER WITH SELF-DEPLOYABLE GUIDEWIRE STOP</b>
--

**Payment of Fee**  
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 03-3419 as described below.
- ☐ Charge the amount of \$00.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
_____ (Date)
_____ Signature of Person Mailing Correspondence
_____ Typed or Printed Name of Person Signing Certificate

**\*This certificate may only be used if paying by deposit account.**

*Michael J. Gerver*  
**Michael J. Gerver, Reg. No. 52,940**

**Date: January 19, 2006**

William H. Dippert, Esq.  
Wolf, Block, Schorr & Solis-Cohen LLP  
250 Park Avenue  
New York, New York 10177  
Tel: (212) 986 1116



PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

### Certificate of Express Mail Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail under 37 CFR 1.10 on the date indicated below and is addressed to:

Mail Stop: AMENDMENT  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on February 1, 2006  
Date

EV793699811US

Express Mail Label

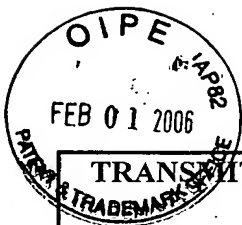
Geraldine Gress

Wolf, Block, Schorr and Solis-Cohen LLP  
250 Park Avenue  
10th Floor  
New York, New York 10177-0030  
Telephone: 212.986.1116; Facsimile: 212.986.0604

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Applicant: Uri Rosenschein et al.  
Serial No: 10/523,967  
Filing Date: February 25, 2003  
For: Embolism Filter With Self-Deployable Guidewire Stop  
Enclosures: (1) Transmittal of Information Disclosure Statement (3 pages);  
(2) Information Disclosure Statement (2 pages);  
(3) IDS Citation (2 pages) w/three references;  
(4) Acknowledgement postcard

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97 (c))

Docket No.

366/04310

In Re Application of:

Uri ROSENSCHEIN et al.

Application No.

10/523,967

Filing Date

February 25, 2003

Examiner

UNKNOWN

Customer No.

44909

Art Unit

xxxx

Confirmation No.

3985

Title:

**EMBOLISM FILTER WITH SELF-DEPLOYABLE GUIDEWIRE STOP**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 03-3419 as described below.
- ☐ Charge the amount of \$00.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on \_\_\_\_\_

(Date) \_\_\_\_\_

Signature of Person Mailing Correspondence \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

Michael J. Gerver, Reg. No. 52,940

Date: January 19, 2006

William H. Dippert, Esq.  
Wolf, Block, Schorr & Solis-Cohen LLP  
250 Park Avenue  
New York, New York 10177  
Tel: (212) 986 1116

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

in regard to the application of: **Uri ROSENSCHEIN**

Serial No: 10/523,967 Group Art Unit: Unknown  
Filing Date: February 25, 2003 Examiner: Unknown  
For: Embolism Filter with Self-Deployable Guidewire Stop

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Hon. Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully direct the attention of the Examiner to art cited with respect to U.S. Application No. 10/523,967, which is a U.S. national application under 35 U.S.C. §371 of PCT Application No. PCT/IL03/00144.

The Examiner is respectfully requested to review and consider this art, in accordance with MPEP §2001.06, MPEP §1893.03(e) and MPEP §1893.03(g) and to indicate in the first office action that he has considered this art. Additionally, the Examiner is respectfully requested to cite those prior art publications mentioned in this application which the Examiner considers to be material or relevant to the present claims.

Further, in order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached is an Equivalent to Form PTO-1449 listing the cited art. This art contains information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

Copies of items 1-12 & 16-19 are not attached, as the USPTO waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting copies of US patents and US patent application publications in all U.S. applications filed after June 30, 2003. See MPEP 609 III A(2).

Item 13 (DE 198 46 630) is in a foreign language, an English-language abstract is attached to the reference.

In accordance with MPEP §609 it is requested that each document cited (including any mentioned in Applicants' specification which is not repeated on the attached PTO-1449 form

or equivalent thereof) be given thorough consideration and be cited of record in the prosecution history of the present application by initialing on the PTO-1449 form or its equivalent, so that it will appear on the face of the patent issuing on the present application, even if the Examiner does not consider it sufficiently pertinent to use in a rejection, or otherwise does not believe that the guidelines for citation have been fully complied with.

The present Information Disclosure Statement is being submitted in compliance with 37 C.F.R. §1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 C.F.R. §1.104(a), and in the course of such search will review for relevance every document cited on the attached form.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,  
Uri Rosenschein et al.

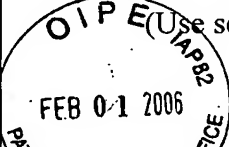


Michael J. Gerver,  
Registration No. 52,940

January 19, 2006

William H. Dippert, Esq.  
Wolf, Block, Schorr & Solis-Cohen LLP  
250 Park Avenue  
New York, New York 10177

Tel: (212) 986 1116

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary) 	ATTY DOCKET NO. 366/04310	SERIAL NO. 10/523,967
	APPLICANT(S) Uri ROSENSCHEIN et al.	
	FILING February 25, 2003	GROUP

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate
	1.	4,425,908	17 Jan '84	SIMON			
	2.	5,848,964	15 Dec '98	SAMUELS			
	3.	6,099,549	08 Aug '00	BOSMA et al.			
	4.	6,179,859	30 Jan '01	BATES et al.			
	5.	6,254,525	03 Jul '01	REINHARDT et al.			
	6.	6,371,971	16 Apr '02	TSUGITA et al.			

### U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate
	7.	2002/0183782	05 Dec '02	TSUGITA et al.			
	8.	2002/0095174	18 Jul '02	TSUGITA et al.			
	9.	2002/0151927	17 Oct '02	DOIUK et al.			
	10.	2002/0091407	11 Jul '02	ZADNO-AZIZI et al.			
	11.	2002/0165574	07 Nov '02	RESSEMANN et al.			
	12.	2002/0165598	07 Nov '02	WAHR et al.			

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	13.	DE 198 46 630	20 Apr '00	GERMANY		X		
	14.	WO 02/40090	23 May '02	PCT				

### OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

	15.	Topol, E.J et al., "Recognition of the Importance of Embolization in Athereosclerotic Vascular Disease", Circulation 2000; 101:570-580.

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

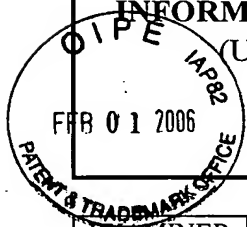
Form PTO-A820

Patent and Trademark Office\*U.S. DEPARTMENT OF COMMERCE

(also form PTO-1449)

PAGE 1 OF 2

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	ATTY DOCKET NO. 366/04310	SERIAL NO. 10/523,967
	APPLICANT(S) Uri ROSENSCHEIN et al.	
	FILING February 25, 2003	GROUP



EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate

### U.S. PATENT APPLICATION PUBLICATIONS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate
	16.	2002/0062133	23 May '02	GILSON et al.			
	17.	2002/0082558	27 Jun '02	SAMSON et al.			
	18.	2002/0091408	11 Jul '02	SUTTON			
	19.	2002/01308094	26 Sep '02	BORILLO et al.			

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-A820  
(also form PTO-1449)

Patent and Trademark Office\*U.S. DEPARTMENT OF COMMERCE